CHAPTER 71

FLOODPLAIN OR FLOODWAY DEVELOPMENT—

WHEN APPROVAL IS REQUIRED

[Prior to 7/1/83, INRC, Ch 5, Div. 1]

[Prior to 12/3/86, Water, Air and Waste Management[900]]

PREAMBLE: This chapter contains administrative thresholds which implement the statutory requirement that approval from the department be obtained for any development including construction, maintenance and use of a structure, dam, obstruction, deposit, excavation or "flood control work" on a floodplain or floodway. These administrative thresholds are organized into categories such as "channel changes," "levees or dikes," "buildings," etc. Any doubt concerning whether a project or activity requires approval under these thresholds should be resolved by a request for advice from the department.

567—71.1(455B) Delegated State Floodplain Permitting by Local Communities A floodplain permit issued by a local government may fulfill the state floodplain permitting requirements of the department when all of the following apply:

71.1(1) Delegated Authority. The community has an ordinance containing floodplain regulations approved by the Department. The Department will review the community's floodplain permitting procedures to determine if they are consistent with the requirements for communities to participate in the FEMA National Flood Insurance Program and are compatible with these rules.

71.1(2) Project Types. The project is located in the community's adopted floodway fringe zoning and consists of buildings, fill placement, minor grading activities, trails, or other

development that does not require an engineering evaluation such as is required for bridges, culverts and levees.

71.1(3) Eligibility. Communities shall demonstrate capacity to properly review applications and issue floodplain permits. Communities shall provide evidence of this capacity at least every 5 years.

<u>567—71.2(455B)</u> State Floodplain Permits. Approval by the department for any development including construction, maintenance and use of a structure, dam, obstruction, deposit, excavation or "flood control work" on a regulated floodplain or floodway shall be required in the following instances if a project is not approved by a delegated community's local floodplain permit under 71.1 in any of the following instances.

71.2(1) Rural areas. In rural areas:

- <u>a.</u> Projects in or on the floodplain of any stream draining 10 or more square miles at the downstream end of the project site.
- 71.2(2) *Urban areas*. Projects in or on the flood plain of any river or stream draining 2 or more square miles at the downstream end of the project site.
- 71.2(3) Protected streams. Channel changes at any location on any river or stream designated as a protected stream pursuant to division III of 567—Chapter 72.
- 71.2(4) Buildings and other structures adjacent to or downstream from impoundments.

 Approval is required for new construction, additions, lowering, or reconstruction of buildings and other structures such as water and waste water treatment, sanitary landfills, and animal feeding operations, and associated fill without regard to the drainage area if the proximity of the building to a dam regulated by the department is as follows:

- a. Adjacent to impoundment. Approval is required for a building or other structure and associated fill adjacent to an impoundment if the lowest floor level including any basement is lower than the top of the dam.
- b. Downstream from dam. Approval is required for a building or other structure and associated fill downstream from a dam at any location where flooding can be reasonably anticipated from principal or emergency spillway discharges. If the dam does not substantially comply with high hazard criteria in these rules, approval is required for a building and associated fill at any location where flooding can be reasonably anticipated from overtopping and failure of the dam.
- <u>71.2(5)</u> Dams. Approval by the department for construction, repair, or modification of any dam shall be required when the dam exceeds the thresholds under rule 567—73.3(455B).
- <u>567—71.3(455B)</u> Exempted Activities. The following activities will not require approval by the <u>Department.</u>
- 71.3(1) Bridges/culverts/road embankments and associated work. Approval is not required for any crossing in a rural area flood plain with a drainage area less than 100 square miles including associated channel changes not on protected streams with up to 500 feet in length and maximum of 25% reduction in length, and associated excavations within 500 feet of the project. Approval is not required for federally regulated railroad crossings having a drainage area of any size.
 - 71.3(1) Buildings. Approval is not required under the following conditions:
- a. Building additions which increase the original floor area of a building by less than 25%.

 All additions constructed after July 4, 1965, shall be added to any proposed addition in determining whether the total increase in original floor space would exceed 25 percent.

- b. Reconstruction of any portion of a building if the cost of reconstruction (as would be determined by a qualified contractor) does not exceed 50 percent of the market value of the existing building or if reconstruction will not increase the market value by more than 50 percent. Volunteer labor and donated materials shall be included in the estimated cost of repair.
- 71.3(3) Pipeline and Underground Linear Utility Crossings. Approval by the department for the construction, operation and maintenance of buried pipeline, conduit and linear utility crossings is not required if the natural contours of the channel and floodplain are maintained and no bank stabilization is included.
- 71.3(4) Excavations Approval by the department shall not be required if one of the following apply:
- a. The channel cross section is increased by 10% or less. The cross-sectional area of the channel shall be determined based on current survey, or original engineering plans, if being performed by a drainage district. If an original plan is not available, the current channel surveyed area will be used to determine the original cross-sectional area of the channel.
- b. Excavation for the repair and maintenance of a drainage district ditch with less than 100 square mile drainage area as defined in 567—70.2(455B)
- c. Excavation outside the channel on any floodplain of any river or stream draining more than 10 square miles where spoil is removed from the floodplain and surface waters are not diverted into a sinkhole or quarry excavated in carbonate rock.
- 71.4(5) Boat docks. All floating boat docks on lakes, and those recreational non-floating type boat docks located on the Mississippi and Missouri rivers, located on reservoirs within state parks, and the conservation pools of the Coralville, Rathbun, Red Rock, and Saylorville reservoirs

shall not require department approval, other than a permit obtained from the parks, recreation and preserves division of the department.

71.4(6) Small projects. Approval by the department shall not be required in rural areas for any low damage potential project where such works obstruct less than 3 percent of the cross-sectional area of the stream channel at bankfull stage or where such works obstruct less than 15 percent of the cross-sectional area of that side of the stream's floodplain at any stage. This includes signs, navigational markers, and utility poles including H-frame structures. Fences that could catch debris and block flow during a flood may be considered a full obstruction to flow for purposes of determining the 3% or 15% obstruction.

The department may delegate regulatory authority to a local government by approving local floodplain regulations (see 567—Chapter 75). To determine whether the department has delegated regulatory authority over a specific category of project at a specific location, an inquiry should be made to:

State Coordinator

National Flood Insurance Program

Iowa Department of Natural Resources

Wallace State Office Building

Des Moines, Iowa 50319

Telephone: (515)725-8200

[ARC 2764C, IAB 10/12/16, effective 11/16/16]

567—71.1(455B) Bridges, culverts, temporary stream crossings, and road embankments. Approval by the department for the construction, operation, and maintenance of bridges, culverts, temporary stream crossings, and road embankments shall be required in the following instances.

71.1(1) Rural area—floodway. In rural areas, bridges, culverts, road embankments, and temporary stream crossings in or on the floodway of any river or stream draining more than 100 square miles. (Note: Channel modifications associated with bridge, culvert or roadway projects may need approval; see 567—71.2(455B).)

or floodplains, but not crossing the channel of a river or stream draining more than 10 square miles, where such works occupy more than 3 percent of the cross-sectional area of the channel at bankfull stage or where such works obstruct more than 15 percent of the total cross-sectional area of the floodplain at any stage. In determining a 15 percent occupancy of the floodplain, the concept of equal and opposite conveyance as defined in 567—Chapter 70 shall apply.

71.1(3) *Urban areas*. In urban areas, bridges, culverts, road embankments and temporary stream crossings in or on the floodway or floodplains of any river or stream draining more than 2 square miles.

567—71.2(455B) Channel changes. Approval by the department for the construction, operation, and maintenance of channel changes shall be required in the following instances.

71.2(1) Rural areas. In rural areas:

a. Channel changes not otherwise associated with road projects in or on the floodway of any stream draining more than 10 square miles at the location of the channel change.

b. Channel changes associated with road projects in or on the floodway of any stream draining more than 10 square miles at the location of the channel change whereby either (i) more than a 500-foot length of the existing channel is being altered or (ii) the length of existing channel being altered is reduced by more than 25 percent.

- 71.2(2) *Urban areas*. In urban areas channel changes on any river or stream draining more than 2 square miles at the location of the channel change.
- 71.2(3) Protected streams. Channel changes at any location on any river or stream designated as a protected stream pursuant to division III of 567—Chapter 72.
- 71.2(4) Channel change by drainage district. Rule 567—72.2(455B) applies to channel changes sponsored by a drainage district. However, approval is not required for repair and maintenance of a drainage district ditch as defined in 567—70.2(455B,481A) if the drainage area of the ditch at the location of the proposed work is less than 100 square miles.

This rule is intended to implement Iowa Code section 455B.275.

567—71.3(455B) Dams. Approval by the department for construction, repair, or modification of any dam shall be required when the dam exceeds the thresholds under rule 567—73.3(455B). Other structures across a stream may require approval under rule 567—71.12(455B).

This rule is intended to implement Iowa Code sections 455B.262, 455B.264, 455B.267, 455B.275 and 455B.277.

[ARC 5899C, IAB 9/8/21, effective 10/13/21]

- 567—71.4(455B) Levees or dikes. Approval by the department for construction, operation, and maintenance of levees or dikes shall be required in the following instances.
- 71.4(1) Rural areas. In rural areas, any levees or dikes located on the floodplain or floodway of any stream or river draining more than 10 square miles.
- 71.4(2) *Urban areas*. In urban areas, any levee or dike along any river or stream draining more than 2 square miles.
- 567—71.5(455B) Waste or water treatment facilities. Approval by the department for construction, operation, and maintenance of waste or water treatment facilities shall be required in the following instances.

- 71.5(1) Rural areas. In rural areas, any such facilities on the floodplains or floodway of any river or stream draining more than 10 square miles.
- 71.5(2) Urban areas. In urban areas, any such facilities on the floodplain or floodway of any river or stream draining more than 2 square miles.
- 567—71.6(455B) Sanitary landfills. Approval by the department for construction, operation, and maintenance of any sanitary landfill shall be required in the following instances.
- 71.6(1) Rural areas. In rural areas, any such landfill located on the floodplain or floodway of any stream draining more than 10 square miles at the landfill site.
- 71.6(2) Urban areas. In urban areas, any such facilities located on the floodplain or floodway of any stream draining more than 2 square miles at the landfill site.
- 567—71.7(455B) Buildings and associated fill. Approval by the department for construction, use and maintenance of "buildings" as defined in 567—Chapter 70 and for placement of fill is required as described in the following thresholds.
- 71.7(1) Building and placement of associated fill in urban areas. In urban areas as defined in these rules approval is required for construction, use and maintenance of buildings in the floodway or floodplain of any stream draining more than 2 square miles at the location of the structure as follows:
- a. New construction including fill for development purposes. Approval is required for construction of any new building. New construction includes replacement or relocation of an existing building. New construction also includes placement and grading of fill materials in a manner that would create an elevated building site.
- b. Additions to existing buildings. Approval is required for any addition which increases the original floor area of a building by 25 percent or more. All additions constructed after

July 4, 1965, shall be added to any proposed addition in determining whether the total increase in original floor space would exceed 25 percent.

c. Lowering or elevating. Approval is required for lowering a floor of a building. Approval is not required for elevating an existing building. However, when a building is elevated the lowest floor should be elevated to the appropriate minimum protection level stated in 567 subrule 72.5(1). The department, upon request, will cooperate in determining the minimum protection level for a person who proposes to elevate a building.

d. Reconstruction. Approval is required for reconstruction of any portion of a building if the cost of reconstruction exceeds 50 percent of the market value of the existing building or if reconstruction will increase the market value by more than 50 percent.

71.7(2) Buildings and associated fill located within 2 miles of an urban area. The thresholds for buildings and associated fill in subrule 71.7(1) shall apply to rural areas within 2 miles of municipal corporate limits.

71.7(3) Buildings and associated fill in all other rural areas. In rural areas not covered by 71.7(1) the thresholds for approval of buildings and associated fill are the same as in 71.7(1) except that approval is required only when the drainage area at the location of the structure is more than 10 square miles.

71.7(4) Buildings and associated fill adjacent to or downstream from impoundments.

Approval is required for new construction, additions, lowering, or reconstruction and associated fill as described in 71.7(1) without regard to the drainage area if the proximity of the building to a dam regulated by the department is as follows:

a. Adjacent to impoundment. Approval is required for a building and associated fill adjacent to an impoundment if the lowest floor level including any basement is lower than the top of the dam.

b. Downstream from dam. Approval is required for a building and associated fill downstream from a dam at any location where flooding can be reasonably anticipated from principal or emergency spillway discharges. If the dam does not substantially comply with high hazard criteria in these rules, approval is required for a building and associated fill at any location where flooding can be reasonably anticipated from overtopping and failure of the dam.

567—71.8(455B) Pipeline crossings. Approval by the department for the construction, operation and maintenance of buried pipeline crossings is not required if the natural contours of the channel and flood plain are maintained. (NOTE: Approval of stream bank protection measures associated with pipeline crossings may need approval under 567—71.9(455B).) Approval by the department for the construction, operation, and maintenance of all other pipeline crossings shall be required in the following instances:

71.8(1) Rural areas. In rural areas, pipeline crossings on any river or stream draining more than 100 square miles.

71.8(2) Urban areas. In urban areas, pipeline crossings on any river or stream draining more than 2 square miles.

567—71.9(455B) Stream bank protective devices. Approval by the department for construction, operation, and maintenance of stream bank protective devices (including wing dikes, jetties, et cetera) shall be required in the following instances:

71.9(1) Rural areas. In rural areas:

a. All stream bank protective devices along any river or stream draining more than 100 square miles.

b. Stream bank protective devices along any river or stream draining between 10 and 100 square miles where the cross-sectional area of the river or stream channel is reduced more than 3 percent.

71.9(2) *Urban areas*. In urban areas:

a. Stream bank protective devices along any river or stream draining more than 100 square miles.

b. Stream bank protective devices along any river or stream draining between 2 and 100 square miles where the cross-sectional area of the river or stream channel is reduced more than 3 percent.

567-71.10(455B) Boat docks.

71.10(1) In general. Except as provided in subrule 71.10(2), department approval is required for all boat docks that are located in any stream other than a lake and do not float on the surface of the water.

71.10(2) Exempted nonfloating boat docks. Recreational nonfloating type boat docks located on the Mississippi and Missouri rivers, and the conservation pools of the Coralville, Rathbun, Red Rock, and Saylorville reservoirs shall not require department approval, other than a permit obtained from the parks, recreation and preserves division of the department.

567—71.11(455B) Excavations. Approval by the department for excavations shall be required in the following instances:

71.11(1) Rural areas. In rural areas:

a. Excavation in the channel on any river or stream draining more than 10 square miles where said excavation increases the cross-sectional area of said channel below bankfull stage by more than 10 percent. The cross-sectional area of the channel shall be determined based on current engineering plans, or original engineering plans, if being performed by a drainage district. If an original plan is not available, the current engineering plan will be used to determine the original cross-sectional area of the channel. The drainage district shall submit a copy of the engineering plan for increasing the cross-sectional area of a channel to the department prior to approval by the board of supervisors or trustees regardless of size of the increase. The department shall submit its decision to the drainage district within 60 days.

b. Excavation on any floodplain of any river or stream draining more than 10 square miles where said excavation is within 100 feet of the normal stream or riverbank.

c. Excavations in relation to highway projects are exempt except as otherwise provided for in 71.1(1), 71.1(2) and 71.1(3).

d. Excavation for the repair and maintenance of a drainage district ditch as defined in 567—70.2(455B) is not considered an excavation within the intent of this rule if the drainage area of the ditch at the location of the proposed work is less than 100 square miles.

e. Excavations for conservation practices installed to meet or exceed the standards of the USDA Natural Resources Conservation Service (NRCS) Field Office Technical Guide are exempt if all of the following criteria are met:

- (1) The resulting spoil is removed from the floodplain;
- (2) The practices do not reduce the capacity of the floodplain; and
- (3) The practices will not result in water being temporarily or permanently stored above the natural ground line.

These standards may be accessed through the electronic Field Office Technical Guide at efotg.sc.egov.usda.gov/. They are also available in hard copy at the USDA NRCS office that serves the area where the practice will be implemented.

71.11(2) *Urban areas*. In urban areas excavations on the floodway of any stream draining more than 2 square miles.

This rule is intended to implement Iowa Code section 455B.275.

[ARC 2764C, IAB 10/12/16, effective 11/16/16]

567—71.12(455B) Miscellaneous structures, obstructions, or deposits not otherwise provided for in other rules. Approval by the department for construction, operation, and maintenance of miscellaneous structures, obstructions, or deposits, shall be required in the following instances.

71.12(1) Rural areas. In rural areas, any miscellaneous structures, obstructions, or deposits on the floodway or floodplain of any river or stream draining more than 10 square miles where such works obstruct more than 3 percent of the cross-sectional area of the stream channel at bankfull stage or where such works obstruct more than 15 percent of the total cross-sectional area of the floodplain at any stage. In determining a 15 percent obstruction of the floodplain, the concept of equal and opposite conveyance as defined in 567—Chapter 70 shall apply.

71.12(2) *Urban areas*. In urban areas, miscellaneous structures, obstructions, or deposits on the floodway or floodplains of any river or stream draining more than 2 square miles.

71.12(3) Exemptions. For purposes of this rule, the following project types do not require approval by the department:

a. Signs, navigational markers, and aids that have been placed by a public agency to serve the public;

b. In-kind replacement of existing utility poles, including H-frame structures that are installed as part of routine maintenance or an emergency;

c. New utility poles, including H-frame structures, that fall below the thresholds set forth in 71.12(1) and 71.12(2).

[ARC 2764C, IAB 10/12/16, effective 11/16/16]

567—71.13(455B) Animal feeding operation structures. Approval by the department for construction, operation, and maintenance of animal feeding operation structures shall be required in the following instances.

71.13(1) Rural areas. In rural areas, any such facilities on the floodplain or floodway of any stream draining more than ten square miles.

71.13(2) Urban areas. In urban areas, any such facilities on the floodplain or floodway of any stream draining more than two square miles.

71.13(3) Adjacent to an impoundment. Any such facilities if any part of the facility is located on land that is naturally lower than the top of the dam.

These rules are intended to implement Iowa Code chapter 455B, division III, part 4; and Iowa Code sections 459.102, 459.301 and 481A.15.

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Effective date(12/25/85) of subrules 71.2(4) and 71.11(1) "a" and "d" delayed 70 days by the Administrative Rules Review Committee.