DRINKING WATER STATE REVOLVING FUND

INTENDED USE PLAN (IUP) APPLICATION FORM

This form may be used to apply for inclusion on the project priority list of the Drinking Water SRF IUP at the time the Preliminary Engineering Report is submitted. IUPs are developed on an annual basis with quarterly updates as needed. **This form is not an application for a loan**. SRF loan application materials may be obtained at www.iowasrf.com. The loan application should not be completed until after bids have been received.

Instructions:
Complete the requested information in the following sections to the best of your ability. Please print or type the information on the form. If a particular item does not apply to your system, enter n/a for "not applicable." Attach supporting documentation as needed. Keep a copy of the completed application for your records, submit a copy to your engineer, and submit the signed form to the following e-mail address: srf-iup@dnr.iowa.gov

For more information about the Drinking Water SRF IUP Application, contact Theresa Enright at 515-725-0498 or Theresa.enright@dnr.iowa.gov

Section 1: Applicant Information

Applicant Name: _____

Applicant Address: _____

City: _____ State: _____ Zip: _____

Authorized Representative: _____

Telephone Number: _____ E-mail: _____

Signature: _____ Title: _____

*DUNS Number: _____

Consulting Engineer: _____

Firm: _____

Telephone Number: _____ E-mail: _____

Population Served: Community: _____ Service Area: _____

Number of Service Connections: _____

***Please note:** The Dun and Bradstreet Number (DUNS) was collected as part of EPA 4700-4 form. That form is no longer required for SRF applicants. If the Owner does not have a DUNS number, request one at http://fedgov.dnb.com/webform. While loan recipients do not have to fill out the form, it is important to note that they are still required to comply with Title VI of the Civil Rights Act of 1964. Title VI provides that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity receiving Federal financial assistance.

FOR SRF USE ONLY

PWSID Number: _____

Date Received: _____

DWSRF Number: _____

County: _____

Profit Status of the System (for Nontransient Noncommunity systems only):

Check one:
- ☐ Not for profit system
- ☐ Profit System

Percentage of Population meeting LMI criteria: _____%_____

Method of determining percentage LMI

Check one:
- ☐ 2006-2010 American Community Survey Data
- ☐ Income survey (if checked, list party that conducted survey)

_____

Please note: Extended term financing (up to 30 years) is now available to all projects based on the weighted average useful life of the project components. It is no longer only available to disadvantaged communities.
See Exhibit 8 - DWSRF Extended Term Worksheet

Are you interested in an extended loan term?
- ☐ Yes
- ☐ No

Anticipated final plans and specifications submittal date: _____

Anticipated construction start date: _____

Section 2: Brief Project Summary (Attach additional pages if necessary.)

Describe the reasons for the proposed project: (i.e. specific water quality problem or system improvement)

Describe the proposed project: (i.e., specific solution to the water quality problem, or proposed system improvement)

Which other funding programs are you considering to assist in completion of this project? (Check all that apply)

- ☐ Community Development Block Grant (CDBG)
- ☐ Rural Development Grant and/or Loan
- ☐ Clean Water State Revolving Fund (for water system waste treatment projects)
- ☐ Reserve Funds
- ☐ Other: _____

Does your project involve a consolidation of two or more water systems? (Check one)

- ☐ Yes
- ☐ No

If Yes, list systems and populations served: _____

Section 3: Project Cost

Cost Category	Estimated Total Cost in $
Legal Expenses	
Land and Easements	+
Engineering Planning Fees	+
Engineering Design Fees	+
Engineering Construction Fees	+
Construction	+
Equipment	+
Other:	+
Other:	+
PROJECT SUBTOTAL	=
Contingencies	+
Planning and design loan proceeds, if rolling into construction loan	+
Less Any Funds Requested from Other Sources	-
LOAN SUBTOTAL	=
Loan Initiation Fee (Loan Subtotal x .005)	+
TOTAL IUP REQUEST (Round to the nearest $1,000)	=

*Supporting materials may be requested to document funding requests and system needs.

Section 4: Consultants*

Bond Counsel Firm: _____

Contact Person: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Telephone Number: _____ E-mail: _____

Municipal Advisor Firm: _____

Contact Person: _____

Mailing Address: _____

City: _____ State: _____ Zip: _____

Telephone Number: _____ E-mail: _____

*Please provide these contacts if known at the time of application. The requirement to engage a Municipal (Financial) Advisor is new to SRF for projects starting in fiscal year 2015.

Please include the following items with your application:

- ☐ Two official copies of the Preliminary Engineering Report, if not already submitted.
  If already submitted, date submitted: _____
- ☐ Completed Viability Assessment Manual, available for download on our website at http://www.iowadnr.gov/Environmental-Protection/Water-Quality/Water-Supply-Engineering/Viability-Capacity-Dev

Please note: Complete applications must be postmarked by the deadline date listed at http://www.iowasrf.com/program/drinking_water_loan_program/drinking_water_intended_use_plan_iup_information.cfm.

Section 5: Acquisition of Property by SRF Applicants

Note: Purchase of land may be eligible under the Drinking Water SRF. Please check with your project manager to confirm.

US ENVIRONMENTAL PROTECTION AGENCY
ASSURANCE WITH RESPECT TO REAL PROPERTY ACQUISITION
OF TITLE III OF THE UNIFORM RELOCATION ASSISTANCE AND REAL PROPERTY
ACQUISITION POLICIES ACT OF 1970 AS AMENDED

The _____ (Applicant) hereby assures that it has authority under applicable State and local law to comply with Section 213 of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, Public Law 91-646, 84 Stat. 1894 (42 U.S.C. 4601) as amended by the Surface Transportation and Uniform Relocation Assistance Act of 1987, Title IV of Public Law 100-17, 101 Stat. 246-256 (42 U.S.C. 4601 note) and 49 CFR 1.48(cc); and certifies, assures and agrees that, notwithstanding any other provision set forth in the application.

1. For projects resulting in the displacement of any person:
   a. It will adequately inform the public of the relocation payments and services which will be available as set forth in Subparts A, C, D and E of 49 CFR 24.
   b. It will provide fair and reasonable relocation payments to displaced persons as required by Subparts D and E of 49 CFR 24.
   c. It will provide a relocation assistance program for displaced persons offering services described in Subpart C of 49 CFR 24.
   d. Comparable replacement dwellings will be available pursuant to Subpart F of 49 CFR 24, or provided if necessary, a reasonable period in advance of the time any person is displaced.
   e. In acquiring real property, it will provide at least 90 days written notice to each lawful occupant of real property acquired, stating the date such occupant is required to move from a dwelling or to move his business or farm operation.

2. For projects resulting in the acquisition of real property:
   a. It will fully comply with the requirements of Subpart B of 49 CFR 24.
   b. It will adequately inform the public of the acquisition policies, requirements and payments which apply to the project.
   c. It will make every effort to acquire real property expeditiously through negotiation.
   d. Before the initiation of negotiations it will have the real property appraised and give the owner or his representative an opportunity to accompany the appraiser during inspection of the property, except as provided in 49 CFR 24.102(c)(2).
   e. Before the initiation of negotiations it will establish an amount which it believes to be just compensation for the real property, and make a prompt offer to acquire the property for that amount; and at the same time it will provide the owner a written statement of the basis for such amount in accordance with 49 CFR 24.102.
   f. Before requiring any owner to surrender possession of real property it will pay the agreed purchase price; or deposit with the court, for the benefit of the owner, an amount not less than the approved appraisal of the fair market value of the property; or pay the amount of the award of compensation in a condemnation proceeding for the property.
   g. If interest in real property is to be acquired by exercise of the power of eminent domain, it will institute formal condemnation proceedings and not intentionally make it necessary for an owner to institute legal proceedings to prove the fact of the taking of this real property; and
   h. It will offer to acquire the entire property, if acquisition of only part of a property would leave its owner with an uneconomic remnant.

References to 49 CFR are citations to Title 49, Code of Federal Regulations, Part 24, published in the Federal Register Vol. 54, No. 40, March 2, 1989.

This document is hereby made part of and incorporated in any contract or agreement, or any supplements and amendments thereto, relating to the above-identified application and shall be deemed to supersede any provision therein to the extent that such provisions conflict with the assurances or agreements provided therein.

_____
(Legal Name of Applicant)

By _____
(Signature of Authorized Representative)

_____
(Date)